



NOTICE

Notice is hereby given that the 1st Annual General Meeting of the Members of ASK Long-Short Fund Managers Private Limited will be held on Friday, 27th September, 2024 at 03:30 p.m. at the registered office of the Company at Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Worli, Mumbai 400 030, to transact the following businesses:

ORDINARY BUSINESS

1. To receive, consider and adopt the Audited Financial Statements of the Company for the financial period ended on 31st March, 2024 together with the Reports of Board of Directors and Auditors thereon.
2. To appoint a Director in place of Mr. Sunil Rohokale (DIN: 01896946), who retires by rotation as a Director, and being eligible, offers himself for re-appointment.
3. To appoint M/s. S.R. Batliboi & Co. LLP, Chartered Accountants (Firm Registration No. 301003E/E300005) as the Statutory Auditors of the Company

To consider and if thought fit, to pass with or without modification(s), the following Resolution as an **Ordinary Resolution**:

“**RESOLVED THAT** pursuant to the provisions of Section 139 and other applicable provisions, if any, of the Companies Act, 2013 and the Companies (Audit and Auditors) Rules, 2014 (including any statutory modification, amendment or enactment thereof, for the time being in force), M/s. S.R. Batliboi & Co. LLP, Chartered Accountants (Firm Registration No. 301003E/E300005) be and are hereby appointed as the Statutory Auditor of the Company for a period of 1 year to hold the office from the conclusion of the 1st Annual General Meeting until the conclusion of the 2nd Annual General Meeting of the Company to be held in the year 2025 at such remuneration plus applicable taxes and reimbursement of out-of-pocket expenses in connection with the Audit as may be mutually agreed between the Management and the Auditors.

ASK Long – Short Fund Managers Private Limited

CIN : U66190MH2023PTC409167

Registered office: Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Century Mill, Mumbai- 400 030

E Mail: coscc@askgroup.in



RESOLVED FURTHER THAT Mr. Sameer Koticha (DIN: 00075145), Chairman, Mr. Vaibhav Sanghavi (DIN: 07354344), CEO & Managing Director, Mr. Sunil Rohokale (DIN: 01896946), Director of the Company, (“Authorized Persons”) be and are hereby severally authorized to do all such acts, deeds, matters and things as may be necessary for the purpose of giving effect to this resolution including making the necessary submissions and filing necessary forms with the Registrar of Companies, Maharashtra at Mumbai or any other regulatory body.”

**By order of the Board
For ASK Long-Short Fund Managers Private Limited**

**Sd/-
Vaibhav Sanghavi**
CEO & Managing Director
(DIN 07354344)

Place: Mumbai

Date:

Registered Office:

Birla Aurora, 16 Level,
Office Floor 9, Dr. Annie Besant Road,
Worli, Mumbai - 400 030.

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NOTES

1. Corporate Members intending to send their authorized representatives to attend the Annual General Meeting (AGM) are requested to send a duly certified copy of their Board Resolution authorizing their representatives to attend and vote at the AGM.
2. Pursuant to section 105 of the Companies Act, 2013, a member entitled to attend and vote at the meeting is entitled to appoint a proxy to attend and vote on poll on his/her behalf and the proxy need not be a member of the Company. The Proxy Form annexed hereto this notice, in order to be effective, should be deposited at the Registered Office of the Company, duly completed and signed, not later than 48 hours before the commencement of the meeting.
3. The attendance slip for the members is annexed hereto this notice. Members should bring the attendance slips duly filled in at the meeting to avoid any inconvenience.
4. Pursuant to the Secretarial Standards-2 on “General Meetings” issued by the Institute of Company Secretaries of India (ICSI), brief resume and relevant details of the Directors proposed to be appointed/reappointed at the ensuing Annual General Meeting and route map of the venue of the meeting is stated in the Annexure to the Notice.
5. All the documents/ statutory registers as required under the Companies Act, 2013 read with rules framed thereunder shall be placed at the meeting for inspection by members (including their authorized representatives) and shall also be available for inspection at the registered office of the Company during business hours.
6. The Statutory Auditors proposed to be re-appointed has furnished their consent for appointment as required under the Companies Act, 2013 and Rules made thereunder.



NOTES TO THE EXPLANATORY STATEMENT IN TERMS OF CLAUSE 1.2.5 OF SECRETARIAL STANDARDS ON GENERAL MEETINGS (SS-2) ISSUED BY THE INSTITUTE OF COMPANY SECRETARIES OF INDIA

Information about the Appointee

| 1. Mr. Sunil Rohokale | | |
|------------------------------|---|---|
| 1. | Age | 54 Years |
| 2. | Qualifications | 1. Bachelor Degree in Engineering, University of Poona 2. MBA From University of Poona |
| 3. | Experience | <p>Mr. Sunil Rohokale has over 29 years of experience in banking and financial services, encompassing lending, asset & wealth management. He is responsible for setting the vision for ASK Asset & Wealth Management Group and spearheads the strategy of the Group. He has strong credentials in building new businesses and leading high-performance teams. Under his leadership, ASK Investment Managers became the largest discretionary PMS house in India, and Private Wealth and Property Fund have grown into formidable businesses. He was also instrumental in driving the foray of ASK into Alternates, with the launch of Hedge Fund and Private Credit businesses.</p> <p>He is credited with setting up ICICI Bank's Mortgage Financing Business, and he was the Managing Director and CEO of ICICI Home Finance Co. Ltd., a wholly owned subsidiary of ICICI Bank.</p> |
| 4. | Terms & Conditions of appointment | Re-appointment as Director of the Company, liable to retire by rotation to comply with the provisions of Section 152 of the Companies Act, 2013 |
| 5. | Remuneration proposed | Nil |
| 6. | Remuneration last drawn | Nil |
| 7. | Date of first appointment | 23 rd August, 2023 |
| 8. | Shareholding in the Company | None |
| 9. | Relationship with other Directors, Manager and other KMPs | None |
| 10. | Number of Meetings of the Board attended during the year | 7/7 |

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| | | |
|-----|--|---|
| 11. | Other Directorships | <ol style="list-style-type: none"> 1. ASK Investment Managers Limited 2. ASK Wealth Advisors Private Limited 3. ASK Property Investment Advisors Private Limited 4. ASK Alternatives Managers Private Limited 5. ASK Pravi Capital Advisors Private Limited 6. ASK Financial Holdings Private Limited 7. Nivara Home Finance Limited 8. Geetanjali and Sunil Rohokale Foundation 9. ASK Capital Management Pte. Limited, Singapore 10. ASK India Real Estate Special Opportunities Fund Pte. Limited 11. ASK India Real Estate Special Situations Fund Pte. Limited 12. ASK India Real Estate Special Opportunities Fund IV VCC 13. ASK Investment Funds 1 VCC 14. ASK Investment Funds ICAV 15. ASK Investment Fund 2 VCC |
| 12. | Membership/ Chairmanship of Committees of other Boards | As per below table |

Committee details for Mr. Sunil Rohokale

| Sr. No. | Name of the Company | Name of the Committee | Chairman/ Member |
|---------|--|---|------------------|
| 1. | ASK Investment Managers Limited | Corporate Social Responsibility Committee | Member |
| 2. | ASK Financial Holdings Private Limited | Risk Management Committee | Member |
| 3. | Nivara Home Finance Limited | Nomination and Remuneration Committee | Member |
| 4. | Nivara Home Finance Limited | Finance Committee | Member |

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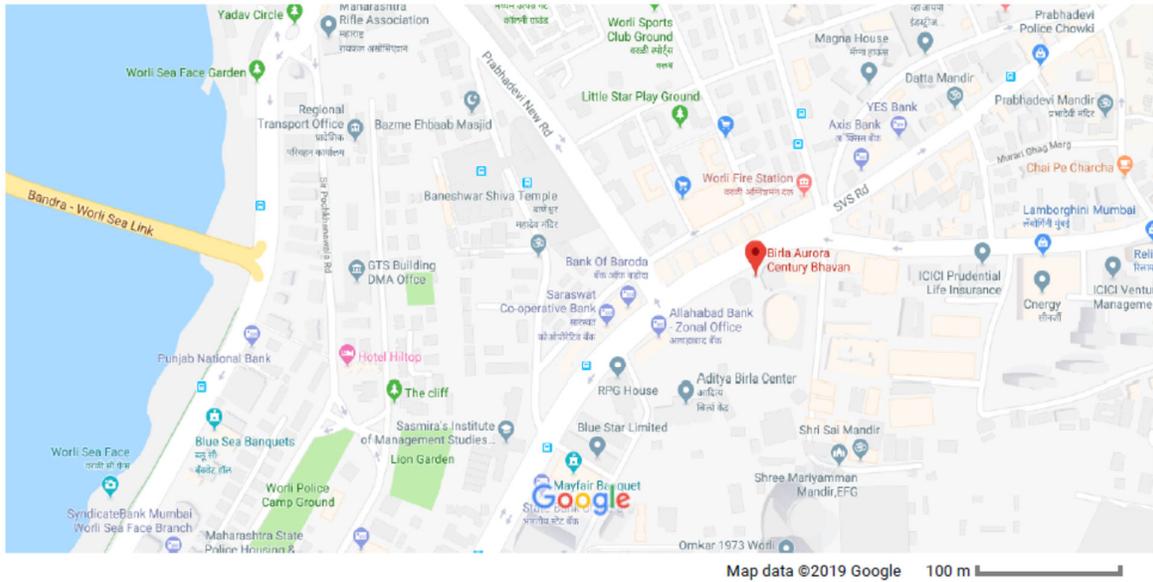
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ROUTE MAP

Google Maps Birla Aurora Century Bhavan



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Form No. MGT-11

Proxy form

[Pursuant to section 105(6) of the Companies Act, 2013 and rule 19(3) of the Companies (Management and Administration) Rules, 2014]

CIN: U66190MH2023PTC409167

Name of the Company: ASK Long-Short Fund Managers Private Limited

Registered office: Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Worli, Mumbai - 400030.

Name of the member (s) :

Registered address :

E-mail Id:

Folio No/ Client Id :

DP ID :

I/We, being the member (s) of shares of the above named company, hereby appoint

1. Name:

Address :

E-mail Id :

Signature: or failing him

2. Name:

Address :

E-mail Id :

Signature: or failing him

as my/our proxy to attend and vote (on a poll) for me/us and on my/our behalf at the Annual general meeting of the company, to be held on the Friday, 27th September, 2024 at 03:30 p.m. at Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Worli, Mumbai - 400030 and at any adjournment thereof in respect of such resolutions as are indicated below:

Resolution No.

1. To receive, consider and adopt the Audited Financial Statements of the Company for the financial period ended on 31st March, 2024 together with the Reports of Board of Directors and Auditors thereon
2. To appoint a Director in place of Mr. Sunil Rohokale (DIN: 01896946), who retires by rotation as a Director, and being eligible, offers himself for re-appointment
3. To M/s. S.R. Batliboi & Co. LLP, Chartered Accountants (Firm Registration No. 301003E/E300005) as Statutory Auditors of the Company.

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Signed this day of 2024.

Affix
Revenue
Stamp

Signature of shareholder

Signature of Proxy holder(s)

Note: This form of proxy in order to be effective should be duly completed and deposited at the Registered Office of the Company, not less than 48 hours before the commencement of the Meeting.

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