

Form No. MGT-7**Annual Return (other than OPCs and Small Companies)**

[Pursuant to sub-section (1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]

All fields marked in * are mandatory

Refer instruction kit for filing the form



Form language

☒ English ☐ Hindi**I REGISTRATION AND OTHER DETAILS**

i *Corporate Identity Number (CIN)

U65993MH2004PLC147890

ii (a) *Financial year for which the annual return is being filed (From date) (DD/MM/YYYY)

01/04/2024

(b) *Financial year for which the annual return is being filed (To date) (DD/MM/YYYY)

31/03/2025

(c) *Type of Annual filing

☒ Original☐ Revised

(d) SRN of MGT-7 filed earlier for the same financial years

iii

Particulars	As on filing date	As on the financial year end date
Name of the company	ASK INVESTMENT MANAGERS LIMITED	ASK INVESTMENT MANAGERS LIMITED
Registered office address	Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Worli,,NA,Mumbai,Mumbai City,Maharashtra,India,400030	Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Worli,,NA,Mumbai,Mumbai City,Maharashtra,India,400030
Latitude details	19.01	19.01
Longitude details	72.82	72.82

(a) *Photograph of the registered office of the Company showing external building and name prominently visible

RO Photographs_.pdf

(b) *Permanent Account Number (PAN) of the company

AA*****2P

(c) *e-mail ID of the company

*****@askgroup.in

(d) *Telephone number with STD code

22*****00

(e) Website	https://www.askfinancials.com										
iv *Date of Incorporation (DD/MM/YYYY)	09/08/2004										
v (a) *Class of Company (as on the financial year end date) <i>(Private company/Public Company/One Person Company)</i>	Public company										
(b) *Category of the Company (as on the financial year end date) <i>(Company limited by shares/Company limited by guarantee/Unlimited company)</i>	Company limited by shares										
(c) *Sub-category of the Company (as on the financial year end date) <i>(Indian Non-Government company/Union Government Company/State Government Company/ Guarantee and association company/Subsidiary of Foreign Company)</i>	Indian Non-Government company										
vi *Whether company is having share capital (as on the financial year end date)	☒ Yes ☐ No										
vii (a) Whether shares listed on recognized Stock Exchange(s)	☐ Yes ☒ No										
(b) Details of stock exchanges where shares are listed											
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">S. No.</th> <th style="width: 55%;">Stock Exchange Name</th> <th style="width: 30%;">Code</th> </tr> </thead> <tbody> <tr> <td style="height: 30px;"></td> <td></td> <td></td> </tr> </tbody> </table>				S. No.	Stock Exchange Name	Code					
S. No.	Stock Exchange Name	Code									
viii Number of Registrar and Transfer Agent	1										
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;">CIN of the Registrar and Transfer Agent</th> <th style="width: 25%;">Name of the Registrar and Transfer Agent</th> <th style="width: 25%;">Registered office address of the Registrar and Transfer Agents</th> <th style="width: 25%;">SEBI registration number of Registrar and Transfer Agent</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; vertical-align: middle;">U67190MH1999PTC118368</td> <td style="text-align: center; vertical-align: middle;">MUFG INTIME INDIA PRIVATE LIMITED</td> <td style="vertical-align: middle;">C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West, ,,,Mumbai,Mumbai City,Maharashtra,India,40008 3</td> <td style="text-align: center; vertical-align: middle;">INR000004058</td> </tr> </tbody> </table>				CIN of the Registrar and Transfer Agent	Name of the Registrar and Transfer Agent	Registered office address of the Registrar and Transfer Agents	SEBI registration number of Registrar and Transfer Agent	U67190MH1999PTC118368	MUFG INTIME INDIA PRIVATE LIMITED	C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West, ,,,Mumbai,Mumbai City,Maharashtra,India,40008 3	INR000004058
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U67190MH1999PTC118368	MUFG INTIME INDIA PRIVATE LIMITED	C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West, ,,,Mumbai,Mumbai City,Maharashtra,India,40008 3	INR000004058								
ix * (a) Whether Annual General Meeting (AGM) held	☒ Yes ☐ No										
(b) If yes, date of AGM (DD/MM/YYYY)	17/09/2025										
(c) Due date of AGM (DD/MM/YYYY)	30/09/2025										
(d) Whether any extension for AGM granted	☐ Yes ☒ No										
(e) If yes, provide the Service Request Number (SRN) of the GNL-1 application form filed for extension											

(f) Extended due date of AGM after grant of extension (DD/MM/YYYY)

(g) Specify the reasons for not holding the same

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

i *Number of business activities

1

S. No.	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance activities	64	Financial service activities, except insurance and pension funding	100

III PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

i *No. of Companies for which information is to be given

10

S. No.	CIN /FCRN	Other registration number	Name of the company	Holding/ Subsidiary/Associate/Joint Venture	% of shares held
1		202111991D	BCP Topco XII Pte Ltd	Holding	63.91
2	U67190MH2006PTC162465		ASK WEALTH ADVISORS PRIVATE LIMITED	Subsidiary	100
3	U74140MH2009PTC189703		ASK PROPERTY INVESTMENT ADVISORS PRIVATE LIMITED	Subsidiary	99.5
4	U74999MH2010PTC199927		ASK FINANCIAL HOLDINGS PRIVATE LIMITED	Subsidiary	100
5	U74900MH2010PTC203657		ASK TRUSTESHIP SERVICES PRIVATE LIMITED	Subsidiary	100

6	U74900MH2011PTC221467		ASK PRAVI CAPITAL ADVISORS PRIVATE LIMITED	Joint Venture	50
7	U74140MH2007PTC168333		ASK ALTERNATIVES MANAGERS PRIVATE LIMITED	Subsidiary	99.5
8		201104122N	ASK Capital Management Pte. Limited	Subsidiary	100
9	U66190MH2023PTC409167		ASK LONG-SHORT FUND MANAGERS PRIVATE LIMITED	Subsidiary	80
10		9122	ASK WEALTH ADVISORS (DIFC) PRIVATE LIMITED	Subsidiary	100

IV SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

i SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of equity shares	100000000.00	87125755.00	87125755.00	87125755.00
Total amount of equity shares (in rupees)	200000000.00	174251510.00	174251510.00	174251510.00

Number of classes

1

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Equity Shares				
Number of equity shares	100000000	87125755	87125755	87125755
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	200000000.00	174251510.00	174251510	174251510

(b) Preference share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of preference shares	0.00	0.00	0.00	0.00
Total amount of preference shares (in rupees)	0.00	0.00	0.00	0.00

Number of classes

0

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
(i) Equity shares						
At the beginning of the year	0	84352556	84352556.00	168705112	168705112	
Increase during the year	0.00	2773199.00	2773199.00	5546398.00	5546398.00	254487423.00
i Public Issues	0	0	0.00	0	0	
ii Rights issue	0	0	0.00	0	0	
iii Bonus issue	0	0	0.00	0	0	
iv Private Placement/ Preferential allotment	0	0	0.00	0	0	

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
v ESOPs	0	2398656	2398656.00	4797312	4797312	254487423
vi Sweat equity shares allotted	0	0	0.00	0	0	
vii Conversion of Preference share	0	0	0.00	0	0	
viii Conversion of Debentures	0	0	0.00	0	0	
ix GDRs/ADRs	0	0	0.00	0	0	
x Others, specify Employee Stock Appreciation Rights	0	374543	374543.00	749086	749086	
Decrease during the year	0.00	0.00	0.00	0.00	0.00	0
i Buy-back of shares	0	0	0.00	0	0	
ii Shares forfeited	0	0	0.00	0	0	
iii Reduction of share capital	0	0	0.00	0	0	
iv Others, specify			0			
At the end of the year	0.00	87125755.00	87125755.00	174251510.00	174251510.00	
(ii) Preference shares						
At the beginning of the year	0	0	0.00	0	0	
Increase during the year	0.00	0.00	0.00	0.00	0.00	0
i Issues of shares	0	0	0.00	0	0	
ii Re-issue of forfeited shares	0	0	0.00	0	0	
iii Others, specify			0			
Decrease during the year	0.00	0.00	0.00	0.00	0.00	0
i Redemption of shares	0	0	0.00	0	0	
ii Shares forfeited	0	0	0.00	0	0	

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
iii Reduction of share capital	0	0	0.00	0	0	
iv Others, specify 			0			
At the end of the year	0.00	0.00	0.00	0.00	0.00	

ISIN of the equity shares of the company

INE925L01025

ii Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		
Before split / Consolidation	Number of shares	
	Face value per share	
After split / consolidation	Number of shares	
	Face value per share	

iii Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company)

☒ Nil

Number of transfers

Attachments:

1. Details of shares/Debentures Transfers

iv Debentures (Outstanding as at the end of financial year)

(a) Non-convertible debentures

*Number of classes

0

Classes of non-convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)

Total			
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Classes of non-convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Total				

(b) Partly convertible debentures

*Number of classes

0

Classes of partly convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
Total			

Classes of partly convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Total				

(c) Fully convertible debentures

*Number of classes

0

Classes of fully convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
Total			

Classes of fully convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Total				

(d) Summary of Indebtedness

Particulars	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0.00	0.00	0.00	0.00
Partly convertible debentures	0.00	0.00	0.00	0.00
Fully convertible debentures	0.00	0.00	0.00	0.00
Total	0.00	0.00	0.00	0.00

v Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V Turnover and net worth of the company (as defined in the Companies Act, 2013)

i *Turnover

7783772000

ii * Net worth of the Company

14817232000

VI SHARE HOLDING PATTERN

A Promoters

S. No	Category	Equity	Preference

		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	8352000	9.59	0	0.00
	(ii) Non-resident Indian (NRI)	0	0.00	0	0.00
	(iii) Foreign national (other than NRI)	0	0.00	0	0.00
2	Government				
	(i) Central Government	0	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	0	0.00
4	Banks	0	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	0	0.00	0	0.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	0	0.00	0	0.00
9	Body corporate (not mentioned above)	0	0.00	0	0.00
10	Others				
	Trust	6500000	7.46	0	0.00
	Total	14852000.00	17.05	0.00	0

Total number of shareholders (promoters)

2

B Public/Other than promoters

S. No	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	10369389	11.90	0	0.00
	(ii) Non-resident Indian (NRI)	517550	0.59	0	0.00
	(iii) Foreign national (other than NRI)	0	0.00	0	0.00
2	Government				
	(i) Central Government	0	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	0	0.00
4	Banks	0	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	0	0.00	0	0.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	0	0.00	0	0.00
9	Body corporate (not mentioned above)	58103708	66.69	0	0.00
10	Others				
	Trust, LLP and AIF	3283108	3.77	0	0.00
	Total	72273755.00	82.95	0.00	0

Total number of shareholders (other than promoters)

1105

Total number of shareholders (Promoters + Public/Other than promoters)

1107.00

Breakup of total number of shareholders (Promoters + Other than promoters)

Sl.No	Category	
1	Individual - Female	317
2	Individual - Male	717
3	Individual - Transgender	0
4	Other than individuals	73
	Total	1107.00

C Details of Foreign institutional investors' (FIIs) holding shares of the company

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

VII NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS

[Details of Promoters, Members (other than promoters), Debenture holders]

Details	At the beginning of the year	At the end of the year
Promoters	2	2
Members (other than promoters)	57	1105
Debenture holders	0	0

VIII DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A Promoter	0	1	0	1	0	9.59
B Non-Promoter	2	2	2	3	3.12	0.07
i Non-Independent	2	0	2	1	3.12	0.07

ii Independent	0	2	0	2	0	0
C Nominee Directors representing	0	4	0	4	0.00	0.00
i. Banks and FIs	0	0	0	0	0	0
ii Investing institutions	0	0	0	0	0	0
iii Government	0	0	0	0	0	0
iv Small share holders	0	0	0	0	0	0
v Others	0	4	0	4	0	0
Total	2	7	2	8	3.12	9.66

*Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

13

B (i) Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity shares held	Date of cessation (after closure of financial year : If any) (DD/MM/YYYY)
SAMEER KOTICHA	00075145	Director	8352000	
SUNIL GANGADHAR ROHOKALE	01896946	Managing Director	0	
BHARAT CHIMANLAL SHAH	00312394	Whole-time director	2715744	01/10/2025
RAJESH SALUJA	01714168	Director	60000	
AMIT DIXIT	01798942	Nominee Director	0	
GANESH MANI	08385423	Nominee Director	0	
NITIN RAKESH	00042261	Nominee Director	0	
MILIND GAJANAN BARVE	00087839	Director	0	
PRATEEK ROONGTA	00622797	Nominee Director	0	
GITA NAYYAR	07128438	Director	0	
PRATEEK JAIN	ABWPJ8382R	CFO	0	
POONAM LAXMANDAS TANWANI	AEVPT5533N	Company Secretary	0	

SUNIL GANGADHAR ROHOKALE	AAOPR4448R	CEO	0	
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B (ii) *Particulars of change in director(s) and Key managerial personnel during the year

4

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation (DD/MM/YYYY)	Nature of change (Appointment/ Change in designation/ Cessation)
RAJESH SALUJA	01714168	Additional Director	10/12/2024	Appointment
RAJESH SALUJA	01714168	Director	25/02/2025	Change in designation
HIMANSHOO BOHARA	ABJPB9181H	CFO	31/07/2024	Cessation
PRATEEK JAIN	ABWPJ8382R	CFO	13/08/2024	Appointment

IX MEETINGS OF MEMBERS/CLASS OF MEMBERS/ BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

*Number of meetings held

2

Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	27/09/2024	309	8	76.4
Extra Ordinary General Meeting	25/02/2025	1016	19	81.38

B BOARD MEETINGS

*Number of meetings held

7

S.No	Date of meeting (DD/MM/YYYY)	Total Number of directors as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	05/06/2024	9	8	88.89

2	01/08/2024	9	6	66.67
3	13/08/2024	9	9	100
4	13/11/2024	9	8	88.89
5	10/12/2024	9	5	55.56
6	27/01/2025	10	8	80
7	11/03/2025	10	10	100

C COMMITTEE MEETINGS

Number of meetings held

15

S.No	Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members as on the date of meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	05/06/2024	3	3	100
2	Audit Committee	13/08/2024	3	3	100
3	Audit Committee	13/11/2024	3	3	100
4	Audit Committee	11/03/2025	3	3	100
5	Corporate Social Responsibility Committee	05/06/2024	5	5	100
6	Corporate Social Responsibility Committee	11/03/2025	5	5	100
7	Nomination and Remuneration Committee	13/05/2024	4	4	100
8	Nomination and Remuneration Committee	06/06/2024	4	4	100
9	Nomination and Remuneration Committee	13/08/2024	4	4	100
10	Nomination and Remuneration Committee	10/12/2024	4	4	100

11	Nomination and Remuneration Committee	27/01/2025	4	3	75
12	Nomination and Remuneration Committee	19/03/2025	4	3	75
13	Banking and Operation Committee	05/06/2024	3	2	66.67
14	Banking and Operation Committee	13/11/2024	3	3	100
15	Banking and Operation Committee	11/03/2025	3	3	100

D ATTENDANCE OF DIRECTORS

S. No	Name of the Director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
								17/09/2025 (Y/N/NA)
1	SAMEER KOTICHA	7	7	100	8	8	100	Yes
2	SUNIL GANGADHAR ROHOKALE	7	4	57	5	5	100	Yes
3	BHARAT CHIMANLAL SHAH	7	5	71	3	2	66	Yes
4	RAJESH SALUJA	2	2	100	0	0	0	Yes
5	AMIT DIXIT	7	4	57	6	4	66	No
6	GANESH MANI	7	6	85	0	0	0	No
7	NITIN RAKESH	7	6	85	0	0	0	No
8	GITA NAYYAR	7	7	100	12	12	100	Yes
9	MILIND GAJANAN BARVE	7	7	100	12	12	100	No
10	PRATEEK ROONGTA	7	6	85	9	9	100	Yes

X REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

A *Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	SUNIL GANGADHAR ROHOKALE	Managing Director	106119184	0	0	750000	106869184.00
2	BHARAT CHIMANLAL SHAH	Whole-time director	1767012537	0	0	750000	1767762537.00
	Total		1873131721.00	0.00	0.00	1500000.00	1874631721.00

B *Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	POONAM LAXMANDAS TANWANI	Company Secretary	6819582	0	0	222180	7041762.00
2	PRATEEK JAIN	CFO	12217644	0	0	609032	12826676.00
3	HIMANSHOO BOHARA	CFO	49606611	0	0	225876	49832487.00
	Total		68643837.00	0.00	0.00	1057088.00	69700925.00

C *Number of other directors whose remuneration details to be entered

3

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	MILIND GAJANAN BARVE	Director	0	900000	0	1600000	2500000.00
2	SAMEER KOTICHA	Director	0	0	0	1300000	1300000.00
3	GITA NAYYAR	Director	0	900000	0	1600000	2500000.00
	Total		0.00	1800000.00	0.00	4500000.00	6300000.00

XI MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

A *Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year

☒ Yes

☐ No

B If No, give reasons/observations

XII PENALTY AND PUNISHMENT – DETAILS THEREOF

A *DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/
DIRECTORS/OFFICERS

☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

B *DETAILS OF COMPOUNDING OF OFFENCES

☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in rupees)

XIII Details of Shareholder / Debenture holder

Number of shareholder/ debenture holder

1107

XIV Attachments

(a) List of share holders, debenture holders

Details of Shareholder or
Debenture holder.xlsm

(b) Optional Attachment(s), if any

ASKIM_Clarification Letter.pdf
Additional Notes - ASKIM- MGT-7
- 2025.pdf
MGT-8_ASKIM_Final_signed.pdf

XV COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this return, the Company has complied with applicable provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

I/ We have examined the registers, records and books and papers of ASK INVESTMENT MANAGERS LIMITED as required to be

maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on

(DD/MM/YYYY) 31/03/2025

In my/ our opinion and to the best of my information and according to the examinations carried out by me/ us and explanations furnished to me/ us by the company, its officers and agents, I/ we certify that:

A The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

- 1 its status under the Act;
- 2 maintenance of registers/records & making entries therein within the time prescribed therefor;
- 3 filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;
- 4 calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
- 5 closure of Register of Members / Security holders, as the case may be.
- 6 advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
- 7 contracts/arrangements with related parties as specified in section 188 of the Act;
- 8 issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;
- 9 keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act
- 10 declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
- 11 signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
- 12 constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
- 13 appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
- 14 approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
- 15 acceptance/ renewal/ repayment of deposits;
- 16 borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
- 17 loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the

provisions of section 186 of the Act ;
18 alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

To be digitally signed by

MANNISH
LALITCHAN
DRA GHIA
Digitally signed by
MANNISH
LALITCHAN
DRA GHIA
DN: c=IN, o=, ou=, email=MANNISH.LALITCHAN@DRA GHIA.COM, cn=MANNISH LALITCHAN DRA GHIA, serial=1836308, c=IN

Name

Mannish L Ghia

Date (DD/MM/YYYY)

19/11/2025

Place

Mumbai

Whether associate or fellow:

☐ Associate ☒ Fellow

Certificate of practice number

3*3*

XVI Declaration under Rule 9(4) of the Companies (Management and Administration) Rules, 2014

*(a) DIN/PAN/Membership number of Designated Person

19182

*(b) Name of the Designated Person

POONAM LAXMANDAS TANWANI

Declaration

I am authorised by the Board of Directors of the Company vide resolution number* 09 dated*

(DD/MM/YYYY) 16/05/2025 to sign this form and declare that all the requirements of Companies Act, 2013

and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1 Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2 All the required attachments have been completely and legibly attached to this form.

*To be digitally signed by

SUNIL
GANGADHAR
RICHOKALE
Digitally signed by
SUNIL GANGADHAR
RICHOKALE
DN: c=IN, o=, ou=, email=SUNIL.GANGADHAR@RICHOKALE.COM, cn=SUNIL GANGADHAR RICHOKALE, serial=1553356, c=IN

*Designation

(Director /Liquidator/ Interim Resolution Professional (IRP)/Resolution Professional (RP))

Director

*DIN of the Director; or PAN of the Interim Resolution Professional (IRP) or Resolution Professional (RP) or Liquidator

0*8*6*4*

***To be digitally signed by**

Poonam
Laxmandas
S Tanwani

☒ Company Secretary ☐ Company secretary in practice

***Whether associate or fellow:**

☒ Associate ☐ Fellow

Membership number

1*1*2

Certificate of practice number

Note: Attention is drawn to provisions of Section 448 and 449 of the Companies Act, 2013 which provide for punishment for false statement / certificate and punishment for false evidence respectively.

For office use only:

eForm Service request number (SRN)

AB9012022

eForm filing date (DD/MM/YYYY)

19/11/2025

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company