

Form No. MGT-7**Annual Return (other than OPCs and Small Companies)**

[Pursuant to sub-section (1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]

All fields marked in * are mandatory

Refer instruction kit for filing the form



Form language

☒ English ☐ Hindi**I REGISTRATION AND OTHER DETAILS**

i *Corporate Identity Number (CIN)

U65993MH2004PLC147890

ii (a) *Financial year for which the annual return is being filed (From date) (DD/MM/YYYY)

01/04/2025

(b) *Financial year for which the annual return is being filed (To date) (DD/MM/YYYY)

31/03/2026

(c) *Type of Annual filing

☒ Original☐ Revised

(d) SRN of MGT-7 filed earlier for the same financial years

iii

Particulars	As on filing date	As on the financial year end date
Name of the company	ASK INVESTMENT MANAGERS LIMITED	ASK INVESTMENT MANAGERS LIMITED
Registered office address	Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Worli,,NA,Mumbai,Mumbai City,Maharashtra,India,400030	Birla Aurora, 16 Level, Office Floor 9, Dr. Annie Besant Road, Worli,,NA,Mumbai,Mumbai City,Maharashtra,India,400030
Latitude details	19.01	19.01
Longitude details	72.82	72.82

(a) *Photograph of the registered office of the Company showing external building and name prominently visible

RO Photographs.pdf

(b) *Permanent Account Number (PAN) of the company

AA*****2P

(c) *e-mail ID of the company

*****@askgroup.in

(d) *Telephone number with STD code

02*****00

(e) Website

https://www.askfinancials.com

iv *Date of Incorporation (DD/MM/YYYY)

09/08/2004

v (a) *Class of Company (as on the financial year end date)

(Private company/Public Company/One Person Company)

Public company

(b) *Category of the Company (as on the financial year end date)

(Company limited by shares/Company limited by guarantee/Unlimited company)

Company limited by shares

(c) *Sub-category of the Company (as on the financial year end date)

(Indian Non-Government company/Union Government Company/State Government Company/
Guarantee and association company/Subsidiary of Foreign Company)

Non-government company

vi *Whether company is having share capital (as on the financial year end date)

☒ Yes

☐ No

vii (a) Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(b) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code

viii Number of Registrar and Transfer Agent

1

CIN of the Registrar and Transfer Agent	Name of the Registrar and Transfer Agent	Registered office address of the Registrar and Transfer Agents	SEBI registration number of Registrar and Transfer Agent
U67190MH1999PTC118368	MUFG INTIME INDIA PRIVATE LIMITED	C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West,), NA, Mumbai, Mumbai City, Maharashtra, India, 400083	INR000004058

ix * (a) Whether Annual General Meeting (AGM) held

☐ Yes

☒ No

(b) If yes, date of AGM (DD/MM/YYYY)

(c) Due date of AGM (DD/MM/YYYY)

30/09/2026

(d) Whether any extension for AGM granted

☐ Yes

☒ No

(e) If yes, provide the Service Request Number (SRN) of the GNL-1 application form filed for extension

(f) Extended due date of AGM after grant of extension (DD/MM/YYYY)

(g) Specify the reasons for not holding the same

NA

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

i *Number of business activities

1

S. No.	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance activities	64	Financial service activities, except insurance and pension funding	100

III PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

i *No. of Companies for which information is to be given

11

S. No.	CIN /FCRN	Other registration number	Name of the company	Holding/ Subsidiary/Associate/Jo int Venture	% of shares held
1		9122	ASK WEALTH ADVISORS (DIFC) PRIVATE LIMITED	Subsidiary	100
2		201104122N	ASK Capital Management Pte. Limited	Subsidiary	100
3	U66190MH2023PTC409167		ASK LONG-SHORT FUND MANAGERS PRIVATE LIMITED	Subsidiary	80
4	U74140MH2007PTC168333		ASK ALTERNATIVES MANAGERS PRIVATE LIMITED	Subsidiary	99.5
5		202111991D	BCP Topco XII Pte Ltd	Holding	63.91
6	U67190MH2006PTC162465		ASK WEALTH ADVISORS PRIVATE LIMITED	Subsidiary	100

7	U74140MH2009PTC189703		ASK PROPERTY INVESTMENT ADVISORS PRIVATE LIMITED	Subsidiary	99.5
8	U74999MH2010PTC199927		ASK FINANCIAL HOLDINGS PRIVATE LIMITED	Subsidiary	100
9	U74900MH2010PTC203657		ASK TRUSTEESHIP SERVICES PRIVATE LIMITED	Subsidiary	100
10	U74900MH2011PTC221467		ASK PRAVI CAPITAL ADVISORS PRIVATE LIMITED	Joint Venture	50
11		416598	Prelude IndiaOpportunityOffshore Limited	Subsidiary	79.97

IV SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

i SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of equity shares	100000000	87490163	87490163	87490163
Total amount of equity shares (in rupees)	200000000.00	174980326.00	174980326.00	174980326.00

Number of classes

1

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Equity Shares				
Number of equity shares	100000000	87490163	87490163	87490163
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	200000000.00	174980326.00	174980326.00	174980326.00

(b) Preference share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of preference shares				
Total amount of preference shares (in rupees)				

Number of classes

0

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
(i) Equity shares						
At the beginning of the year	0	87125755	87125755.00	174251510	174251510	
Increase during the year	0.00	364408.00	364408.00	728816.00	728816.00	5311887.00
i Public Issues	0	0	0.00	0	0	
ii Rights issue	0	0	0.00	0	0	
iii Bonus issue	0	0	0.00	0	00	
iv Private Placement/ Preferential allotment	0	0	0.00	0	0	

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
v ESOPs	0	8215	8215.00	16430	16430	5311887
vi Sweat equity shares allotted	0	0	0.00	0	0	
vii Conversion of Preference share	0	0	0.00	0	0	
viii Conversion of Debentures	0	0	0.00	0	0	
ix GDRs/ADRs	0	0	0.00	0	00	
x Others, specify Employee Stock Appreciation Rights	0	356193	356193.00	712386	712386	
Decrease during the year	0.00	0.00	0.00	0.00	0.00	
i Buy-back of shares	0	0	0.00	0	0	
ii Shares forfeited	0	0	0.00	0	0	
iii Reduction of share capital	0	0	0.00	0	0	
iv Others, specify NA	0	0	0.00	0	0	
At the end of the year	0.00	87490163.00	87490163.00	174980326.00	174980326.00	
(ii) Preference shares						
At the beginning of the year	0	0	0.00	0	0	
Increase during the year	0.00	0.00	0.00	0.00	0.00	
i Issues of shares	0	0	0.00	0	0	
ii Re-issue of forfeited shares	00	0	0.00	00	0	
iii Others, specify NA	0	0	0.00	0	0	
Decrease during the year	0.00	0.00	0.00	0.00	0.00	
i Redemption of shares	0	000	0.00	0	00	
ii Shares forfeited	0	0	0.00	0	0	

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
iii Reduction of share capital	0	0	0.00	00	0	
iv Others, specify	0	0	0.00	0	0	
NA						
At the end of the year	0.00	0.00	0.00	0.00	0.00	

ISIN of the equity shares of the company

INE925L01025

ii Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		
Before split / Consolidation		
	Number of shares	
	Face value per share	
After split / consolidation		
	Number of shares	
	Face value per share	

iii Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company)

☒ Nil

Number of transfers

Attachments:

1. Details of shares/Debentures Transfers

iv Debentures (Outstanding as at the end of financial year)

(a) Non-convertible debentures

*Number of classes

0

Classes of non-convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)

Total			
--------------	--	--	--

Classes of non-convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Total				

(b) Partly convertible debentures

*Number of classes

0

Classes of partly convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
Total			

Classes of partly convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Total				

(c) Fully convertible debentures

*Number of classes

0

Classes of fully convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
Total			

Classes of fully convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Total				

(d) Summary of Indebtedness

Particulars	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures				
Partly convertible debentures				
Fully convertible debentures				
Total				

v Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total	0.00		0.00		0.00

V Turnover and net worth of the company (as defined in the Companies Act, 2013)

i *Turnover

5537557000

ii * Net worth of the Company

13698291000

VI SHARE HOLDING PATTERN

A Promoters

S. No	Category	Equity	Preference

		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	8352000	9.55	0	0.00
	(ii) Non-resident Indian (NRI)	0	0.00	0	0.00
	(iii) Foreign national (other than NRI)	0	0.00	0	0.00
2	Government				
	(i) Central Government	00	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	0	0.00
4	Banks	00	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	0	0.00	00	0.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	00	0.00	0	0.00
9	Body corporate (not mentioned above)	0	0.00	0	0.00
10	Others				
	Monik Koticha	6500000	7.43	0	0.00
	Total	14852000.00	16.98	0.00	0.00

Total number of shareholders (promoters)

2

B Public/Other than promoters

S. No	Category	Equity	Preference
-------	----------	--------	------------

		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	12176314	13.92	0	0.00
	(ii) Non-resident Indian (NRI)	523431	0.60	0	0.00
	(iii) Foreign national (other than NRI)	0	0.00	0	0.00
2	Government				
	(i) Central Government	0	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	00	0.00
4	Banks	0	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	0	0.00	0	0.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	0	0.00	0	0.00
9	Body corporate (not mentioned above)	55678576	63.64	00	0.00
10	Others				
	Corporate,LLP,AIF	4259842	4.87	0	0.00
	Total	72638163.00	83.03	0.00	0.00

Total number of shareholders (other than promoters)

1768

Total number of shareholders (Promoters + Public/Other than promoters)

1770.00

Breakup of total number of shareholders (Promoters + Other than promoters)

Sl.No	Category	
1	Individual - Female	459
2	Individual - Male	1196
3	Individual - Transgender	0
4	Other than individuals	115
	Total	1770.00

C Details of Foreign institutional investors' (FIIs) holding shares of the company

0

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

VII NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS

[Details of Promoters, Members (other than promoters), Debenture holders]

Details	At the beginning of the year	At the end of the year
Promoters	2	2
Members (other than promoters)	1105	1768
Debenture holders	0	0

VIII DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A Promoter	0	1	0	1	0	0
B Non-Promoter	2	4	2	4	0.00	0.00
i Non-Independent	2	1	2	1	0	0

ii Independent	0	3	0	3	0	0
C Nominee Directors representing	0	4	0	4	0.00	0.00
i. Banks and FIs	0	0	0	0	0	00
ii Investing institutions	0	0	0	0	00	0
iii Government	0	0	0	0	0	0
iv Small share holders	0	0	00	0	0	000
v Others	0	4	0	4	0	0
Total	2	9	2	9	0.00	0.00

*Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

11

B (i) Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity shares held	Date of cessation (after closure of financial year : If any) (DD/MM/YYYY)
SAMEER KOTICHA	00075145	Director	8352000	
RAJESH SALUJA	01714168	Director	60000	
AMIT DIXIT	01798942	Nominee Director	0	
GANESH MANI	08385423	Nominee Director	0	
NITIN RAKESH	00042261	Nominee Director	0	
MILIND GAJANAN BARVE	00087839	Director	00	
PRATEEK ROONGTA	00622797	Nominee Director	0	
GITA NAYYAR	07128438	Director	0	
POONAM LAXMANDAS TANWANI	AEVPT5533N	Company Secretary	0	
RAVINDRA PANDEY	07188637	Director	0	
PRATEEK JAIN	ABWPJ8382R	CFO	0	

B (ii) *Particulars of change in director(s) and Key managerial personnel during the year

4

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation (DD/MM/YYYY)	Nature of change (Appointment/ Change in designation/ Cessation)
RAVINDRA PANDEY	07188637	Director	17/09/2025	Change in designation
BHARAT CHIMANLAL SHAH	00312394	Whole-time director	01/10/2025	Cessation
SUNIL GANGADHAR ROHOKALE	01896946	Managing Director	31/01/2026	Cessation
RAVINDRA PANDEY	07188637	Additional Director	01/04/2025	Appointment

IX MEETINGS OF MEMBERS/CLASS OF MEMBERS/ BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

*Number of meetings held

1

Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	17/09/2025	1323	21	77.5

B BOARD MEETINGS

*Number of meetings held

4

S.No	Date of meeting (DD/MM/YYYY)	Total Number of directors as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	16/05/2025	11	10	90.91
2	06/08/2025	11	10	90.91
3	06/11/2025	11	10	90.91
4	05/02/2026	9	8	88.89

C COMMITTEE MEETINGS

Number of meetings held

13

S.No	Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members as on the date of meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	16/05/2025	4	4	100.00
2	Audit Committee	06/08/2025	3	3	100.00
3	Audit Committee	06/11/2025	3	3	100.00
4	Audit Committee	05/02/2026	3	2	66.67
5	Corporate socialresponsibilityCom mittee	16/05/2025	5	5	100.00
6	Corporate socialresponsibilityCom mittee	05/02/2026	4	4	100.00
7	Nomination andRemunerationComm ittee	16/05/2025	4	3	75.00
8	Nomination andRemunerationComm ittee	05/06/2025	4	4	100.00
9	Banking and OperationCommittee	02/04/2025	3	2	66.67
10	Banking and OperationCommittee	06/08/2025	3	3	100.00
11	Banking and OperationCommittee	06/11/2025	2	2	100.00
12	Banking and OperationCommittee	05/02/2026	2	2	100.00
13	StakeholdersRelationshi p Committee	05/02/2026	2	2	100.00

D ATTENDANCE OF DIRECTORS

S. No	Name of the Director	Board Meetings	Committee Meetings	Whether attended AGM held on
-------	----------------------	----------------	--------------------	------------------------------

		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	 (Y/N/NA)
1	SAMEER KOTICHA	4	4	100.00	6	6	100.00	Not applicable
2	RAJESH SALUJA	4	2	50.00	0	0	0.00	Not applicable
3	AMIT DIXIT	4	3	75.00	2	1	50.00	Not applicable
4	GANESH MANI	4	4	100.00	0	0	0.00	Not applicable
5	NITIN RAKESH	4	4	100.00	0	0	0.00	Not applicable
6	MILIND GAJANAN BARVE	4	3	75.00	7	6	85.71	Not applicable
7	PRATEEK ROONGTA	4	4	100.00	11	11	100.00	Not applicable
8	GITA NAYYAR	4	4	100.00	5	5	100.00	Not applicable
9	RAVINDRA PANDEY	4	4	100.00	5	5	100.00	Not applicable

X REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

A *Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	Sunil Rohokale	Managing director	64478391	0	0	750000	65228391.00
2	Bharat ChimanlalShah	Whole-time director	33330373	0	0	375000	33705373.00
	Total		97808764.00	0.00	0.00	1125000.00	98933764.00

B *Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
--------	------	-------------	--------------	------------	-------------------------------	--------	--------------

1	POONAMLAXMAN DASTANWANI	Company Secretary	8468971	0	0	288839	8757810.00
2	PRATEEK JAIN	CFO	33229180	0	0	1152000	34381180.00
	Total		41698151.00	0.00	0.00	1440839. 00	43138990.00

C *Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	MILIND GAJANANBARVE	Director	0	2200000	0	800000	3000000.00
2	SAMEER KOTICHA	Director	0	0	0	1000000	1000000.00
3	GITA NAYYAR	Director	0	2250000	00	750000	3000000.00
4	RAVINDRA PANDEY	Director	0	1850000	0	1150000	3000000.00
	Total		0.00	6300000.00	0.00	3700000. 00	10000000.00

XI MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

A *Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year

☒ Yes

☐ No

B If No, give reasons/observations

XII PENALTY AND PUNISHMENT – DETAILS THEREOF

A *DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/
DIRECTORS/OFFICERS

☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

B *DETAILS OF COMPOUNDING OF OFFENCES

☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in rupees)

XIII Details of Shareholder / Debenture holder

Number of shareholder/ debenture holder

1770

XIV Attachments

(a) List of share holders, debenture holders

Details of Shareholder or
Debenture holder.xlsm

(b) Optional Attachment(s), if any

XV COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this return, the Company has complied with applicable provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

I/ We have examined the registers, records and books and papers of

ASK INVESTMENT
MANAGERS LIMITED

as required to be

maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on

(DD/MM/YYYY) 31/03/2026

In my/ our opinion and to the best of my information and according to the examinations carried out by me/ us and explanations furnished to me/ us by the company, its officers and agents, I/ we certify that:

A The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1 its status under the Act;

- 2 maintenance of registers/records & making entries therein within the time prescribed therefor;
- 3 filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal , Court or other authorities within/beyond the prescribed time;
- 4 calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
- 5 closure of Register of Members / Security holders, as the case may be.
- 6 advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
- 7 contracts/arrangements with related parties as specified in section 188 of the Act;
- 8 issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;
- 9 keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act
- 10 declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
- 11 signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
- 12 constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
- 13 appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
- 14 approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
- 15 acceptance/ renewal/ repayment of deposits;
- 16 borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
- 17 loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act ;
- 18 alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

To be digitally signed by

Name

Mannish L Ghia

Date (DD/MM/YYYY)

20/08/2026

Place

Mumbai

Whether associate or fellow:

☐ Associate

☒ Fellow

Certificate of practice number

3*3*

XVI Declaration under Rule 9(4) of the Companies (Management and Administration) Rules, 2014

* (a) DIN/PAN/Membership number of Designated Person

19182

* (b) Name of the Designated Person

POONAM LAXMANDAS TANWANI

Declaration

I am authorised by the Board of Directors of the Company vide resolution number* 09 dated* (DD/MM/YYYY) 16/05/2025 to sign this form and declare that all the requirements of Companies Act, 2013

and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1 Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2 All the required attachments have been completely and legibly attached to this form.

***To be digitally signed by**

***Designation**

(Director /Liquidator/ Interim Resolution Professional (IRP)/Resolution Professional (RP))

Director

***DIN of the Director; or PAN of the Interim Resolution Professional (IRP) or Resolution Professional (RP) or Liquidator**

0*7*4*6*

***To be digitally signed by**

☒ Company Secretary ☐ Company secretary in practice

***Whether associate or fellow:**

☒ Associate ☐ Fellow

Membership number

1*1*2

Certificate of practice number

Note: Attention is drawn to provisions of Section 448 and 449 of the Companies Act, 2013 which provide for punishment for false statement / certificate and punishment for false evidence respectively.

For office use only:

eForm Service request number (SRN)

AC5406823

eForm filing date (DD/MM/YYYY)

20/08/2026

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company